

4 - 2016-10-05

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X0306 4 2016-09-30 0 0001309082 LUCAS ENERGY, INC. LEI 0001682869 SANDERS KENNETH RAY 450 GEARS ROAD SUITE 860 HOUSTON TX 77067 0 1 0 0 Chief Operating Officer Common Stock 2016-09-30 4 A 0 2758 3.0 A 16834 D Issued as compensation in consideration for services rendered pursuant to the Amended and Restated 2014 Stock Incentive Plan of the Issuer, which issuance was exempt from Section 16(b) pursuant to Rule 16b-3(d). The total value of the shares issued is net of amounts withheld for the payment of taxes in connection with such compensation. /s/ Kenneth Ray Sanders 2016-10-05