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X0303 4 2010-10-07 0 0001309082 LUCAS ENERGY, INC. AMEX:LEI 0001376883 Sawyer William Alexander 3555 TIMMONS LANE SUITE 1550 HOUSTON TX 77027 1 1 0 0 Chief Executive Officer Common Stock 2010-10-07 4 A 0 17500 0 A 350974
D On October 7, 2010, the Issuer's Board of Directors approved the issuance to Mr. Sawyer of 17,500 shares of common stock under the Issuer's 2010 Long Term Incentive plan (the "Plan")(which have been reported in the table above) in consideration for services rendered, and an additional 17,500 shares of common stock under the Plan in the event the net production of the Issuer averages over 10,000 barrels of oil per month for a period of six months (which shares have not been issued to or earned by Mr. Sawyer to date, and therefore have not been included in the table above). This Form 4 does not include 12,000 shares or 24,000 options to purchase shares of common stock issuable to Mr. Sawyer in consideration for services to be rendered to the Issuer during the April 1, 2010 to March 31, 2011 fiscal year, which have an exercise price of \$2.07 per share and vest in tranches of 1/4th of such options per quarter pursuant to the Plan, which securities the Board has approved the issuance and grant of, respectively, but which issuance and grant have not been finalized or affected to date. /s/ William A. Sawyer 2010-12-06