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X0306 4 2012-10-01 0 0001309082 LUCAS ENERGY, INC. MKT:LEI 0001376883 Sawyer William Alexander 3555 TIMMONS LN. SUITE 1550 HOUSTON TX 77027 1 1 0 0 Chief Executive Officer Common Stock 2012-10-01 4 A 0 5893 2.34 A 393150 D Effective April 1, 2011, the Issuer entered into an employment agreement with Mr. Sawyer. Pursuant to the agreement, \$75,000 of Mr. Sawyer's salary is payable in shares of the Issuer's common stock in equal quarterly installments, on every April 1st, October 1st, and January 1st of each year during the term of the agreement. The shares described above equal one quarterly installment of \$18,750 in shares, net of applicable payroll taxes associated with the issuance described above, issuable to Mr. Sawyer pursuant to the agreement. /s/ William A. Sawyer 2012-10-01